

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Janice ROBINSON et al.

Application No.: 09/807,540

Filed: April 13, 2001

For: POWDER COATING COMPOSITIONS

Group Art Unit: Unassigned

Examiner: Unassigned

EX-9  
5/21/02  
#8RECEIVED  
MAY 10 2002  
TC 1700SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT TRANSMITTAL LETTERAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

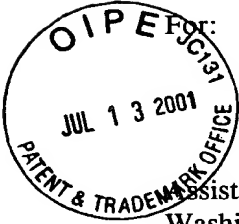
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800.

Respectfully submitted,

BURNS, DOANE, SWECKER &amp; MATHIS, L.L.P.

By: William C. Rowland  
William C. Rowland  
Registration No. 30,888P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: July 13, 2001



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

Enclosed is a copy of the Search Report issued in a corresponding U. K. application.

In addition, the filing of this Information Disclosure Statement shall not be construed to be an admission that each of the references submitted herewith is in fact prior art with respect to the present application, or that each of the references is considered to be material to patentability as defined in § 1.56(b).

The documents are being submitted within 3 months of the filing and before the first Office Action on the merits, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

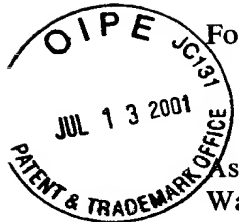
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: July 23, 2001

By: William C. Rowland  
William C. Rowland  
Registration No. 30,888

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620



U.S. APPLICATION NO. (If known, / see 37 C.F.R. 1.50) <b>09/807,540</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB99/03403</b>		ATTORNEY'S DOCKET NUMBER <b>000035-034</b>	
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17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS		PTO USE ONLY	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 (956)  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)							
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$			
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$	130.00		
Claims	Number Filed	Number Extra	Rate				
Total Claims	-20 =		X\$18.00 (966)	\$			
Independent Claims	-3 =		X\$80.00 (964)	\$			
Multiple dependent claim(s) (if applicable)			+ \$270.00 (968)	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	130.00		
Reduction for 1/2 for filing by small entity, if applicable (see below).				\$			
<b>SUBTOTAL =</b>				\$			
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$			
<b>TOTAL NATIONAL FEE =</b>				\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$			
<b>TOTAL FEES ENCLOSED =</b>				\$	130.00		
<div style="border: 1px solid black; padding: 5px; display: inline-block;">           The PTO did not receive the following listed item(s)  <i>No check received</i> </div>				<b>Amount to be:</b>			
				<b>refunded</b>			
				<b>charged</b>			

a. ☐ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 130.00 to cover the above fees is enclosed.

c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

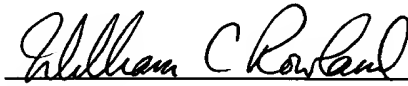
d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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 BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
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 Alexandria, Virginia 22313-1404  
 (703) 836-6620

  
 \_\_\_\_\_  
 SIGNATURE

William C. Rowland  
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 NAME

30,888  
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 REGISTRATION NUMBER

07/06/2001 ATRAN1 00000040 024800 09807540

01 FC:154 130.00 CH

APPLICATION No.  
09/807,540

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**FILING DATE**  
**April 13, 2001**

GROUP	unassigned
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[illegible][illegible][illegible]Date  
Considered

(05/01)